Expr ss Mail Label No.: EV 331816211US

Date of Deposit: October 1, 2003

Attorney Docket No. 00-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Holloway et al.

ASSIGNEE: ZYMOGENETICS, INC.

SERIAL NUMBER: 09/781,077 EXAMINER: C. Saoud, Ph.D.

FILING DATE: February 9, 2001 ART UNIT: 1647

FOR: INSULIN HOMOLOG POLYPEPTIDE ZINS4

I hereby certify that this correspondence with the enclosures listed below is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Kim M. Soplen

October 1, 2003 Seattle, Washington

Office of Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF AMY BEATTY-YASUTAKE UNDER 37 C.F.R. §1.132

Sir:

I, Amy Beatty-Yasutake, hereby declare as follows:

- 1. I am employed as a Patent Paralegal in the Department of Intellectual Property & Legal Affairs of ZymoGenetics, Inc.
- 2. On June 19, 2003 I prepared the filing papers which accompanied the Response and Amendment under 37 C.F.R. §1.111 filed in above-identified patent application. I signed an Express Mail Certificate verifying that the Date of Deposit was June 19, 2003. I also copied the Response and Amendment under 37 C.F.R. §1.111 and the accompanying filing papers after they were completed and packaged them into an Express Mail envelope. I also affixed an Express Mail label numbered EV331815406US to the envelope and addressed the label to Commissioner for Patents, P.O. BOX 1450, Alexandria, VA, 22313. I personally handed the sealed Express Mail package to an employee of the U.S. Postal Service and I reviewed and

T.

09/781,077

Holloway et al.

Petition to Withdraw a Holding f Abandonment und r 37 C.F.R. §1.181(a)

signed the Express Mail Pickup Service Statement. I confirmed that the Pickup Service Statement showed a "Date of Pickup" of June 19, 2003 and that it was signed by the U.S. Postal employee that picked up the package. All of these events transpired on June 19, 2003.

3. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Dated: October 1, 2003

Amy Beatty-Yasutake c/o ZymoGenetics, Inc.

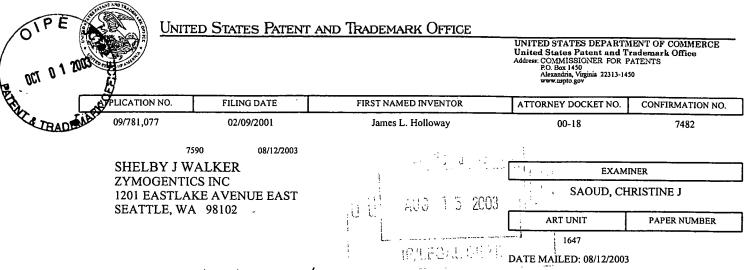
1201 Eastlake Avenue East

Seattle, Washington 98102-3702

Dated: Octob r 1, 2003

Tel: (206) 442-6558 Fax: (206) 442-6678

H:\Patents\Shelby\00-18\Declaration of Amy Beatty-Yasutake under 37 C.F.R.\§1.132.doc



DOCKETED 8/32/03 ABY

Please find below and/or attached an Office communication concerning this application or proceeding.

DOCKETED
RESPONSE DUE 9/10-103 ABY
(Petition to Withdraw Abandonment)

Notice of Abandonment

Application No. **09/781,077**

Applicant(s)

HOLLOWAY et al.

Examiner

Christine Saoud

Art Unit 1647

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --This application is abandoned in view of: 1. Applicant's failure to timely file a proper reply to the Office letter mailed on Dec 19, 2002 (a) A reply was received on _ (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply (including a total extension of time of month(s)) which expired on _____. (b) A proposed reply was received on ______, but it does not constitute a proper reply under 37 CFR 1.113(a) to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114). (c) A reply was received on but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below). (d) No reply has been received. 2. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85). (a) The issue fee and publication fee, if applicable, was received on (with a Certificate of Mailing or Transmission dated ______), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85). (b) The submitted issue fee of \$_____ is insufficient. A balance of \$____ is due. The issue fee required by 37 CFR 1.18 is \$_____. The publication fee, if required by 37 CFR 1.18(d) is \$_____. (c)
The issue fee and publication fee, if applicable, has not been received. 3. Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37), (a) Proposed new formal drawings were received on _____ (with a Certificate of Mailing or Transmission dated ______), which is after the expiration of the period for reply. (b) \(\subseteq \) No corrected drawings have been received. 4.

The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity 5. 🗌 under 37 CFR 1.34(a)) upon the filing of a continuing application. 6. The decision by the Board of Patent Appeals and Interferences rendered on and because the period for seeking court review of the decision has expired and there are no allowed claims. 7. The reason(s) below: CHRISTINE J. SAOUD PRIMARY EXAMINER

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

PPLICATION NO.	FILING DATE	··I	FIRST NAMED INVENTOR
09/781 077	02/09/2001		James I. Hallaway

ATTORNEY DOCKET NO.

CONFIRMATION NO.

00-18

7482

7590

12/19/2002

Susan E. Lingenfelter ZymoGenetics, Inc. 1201 Eastlake Avenue East Seattle, WA 98102

EXAMINER SAOUD, CHRISTINE J

ART UNIT 1647

DATE MAILED: 12/19/2002

11

PAPER NUMBER

Please find below and/or attached an Office communication concerning this application or proceeding.



RESPONSE DUE 3-

The second secon	
 Exhibit B	

PTO-90C (Rev. 07-01)

Office Action Summary

Application No. 09/781,077

Applicant(s)

HOLLOWAY et al.

Examiner

Christine Saoud

Art Unit **1647**



	The MAILING DATE of this communication appears	on the cover si	heet with	the correspondence address
Period	for Reply			
	ORTENED STATUTORY PERIOD FOR REPLY IS SET MAILING DATE OF THIS COMMUNICATION.	TO EXPIRE _	3	MONTH(S) FROM
	sions of time may be available under the provisions of 37 CFR 1.136 (a). In	no event, however,	may a reply	be timely filed after SIX (6) MONTHS from the
- If the - If NO - Failure - Any re	g date of this communication. period for reply specified above is less than thirty (30) days, a reply within the period for reply is specified above, the maximum statutory period will apply a to reply within the set or extended period for reply will, by statute, cause the sply received by the Office later than three months after the mailing date of the period for reply will. I patent term adjustment. See 37 CFR 1.704(b).	and will expire SIX (6 he application to bec) MONTHS ome ABAND	from the mailing date of this communication. ONED (35 U.S.C. § 133).
Status				
1) 💢	Responsive to communication(s) filed on Oct 15, 2	2002		
2a) 🗆	This action is FINAL . 2b) 🔀 This act	tion is non-fina	. l.	
3) 🗆	Since this application is in condition for allowance of closed in accordance with the practice under Ex pa	•		
Disposi	tion of Claims			
4) 💢	Claim(s) 31-36			is/are pending in the application.
4	1a) Of the above, claim(s)			is/are withdrawn from consideration.
5) 🗆	Claim(s)			is/are allowed.
6) 💢	Claim(s) <u>31-36</u>			is/are rejected.
7) 🗀	Claim(s)			is/are objected to.
8) 🗌	Claims	ar	e subjec	t to restriction and/or election requirement.
Applica	ntion Papers			
9) 💢	The specification is objected to by the Examiner.			
10)	The drawing(s) filed on is/are	a) 🗌 accept	ed or b)	\square objected to by the Examiner.
	Applicant may not request that any objection to the d	drawing(s) be h	eld in abe	eyance. See 37 CFR 1.85(a).
11)	The proposed drawing correction filed on	is	;: a) □	approved b) \square disapproved by the Examiner.
	If approved, corrected drawings are required in reply	to this Office a	ction.	`
12)	The oath or declaration is objected to by the Exami	iner.		
Priority	under 35 U.S.C. §§ 119 and 120			
13)□	Acknowledgement is made of a claim for foreign p	riority under 3	5 U.S.C	. § 119(a)-(d) or (f).
a) [☐ All b)☐ Some* c)☐ None of:			
	1. \square Certified copies of the priority documents hav	re been receive	ed.	·
	2. \square Certified copies of the priority documents hav	re been receive	ed in Ap	plication No
	3. Copies of the certified copies of the priority deapplication from the International Bure	au (PCT Rule	17.2(a)).	
*S	ee the attached detailed Office action for a list of th	•		
14)[X	Acknowledgement is made of a claim for domestic			
a) [
15)∐	Acknowledgement is made of a claim for domestic	priority under	35 U.S.	.C. §§ 120 and/or 121.
Attachm				
	otice of References Cited (PTO-892)	_		O-413) Paper No(s)
_	otice of Draftsperson's Patent Drawing Review (PTO-948) formation Disclosure Statement(s) (PTO-1449) Paper No(s).	Notice of In Other:	iormal Pater	nt Application (PTO-152)
Ai ''''	The state of the s	or other.		

DETAILED ACTION

Election/Restriction

- 1. Applicant's election without traverse of Group I in Paper No. 6 is acknowledged.

 Applicant's election of the species "Glu-Glu" for the affinity tag is acknowledged (paper #10).
- 2. Claims 1-30 have been canceled and claims 31-36 have been added as requested in the amendment of paper #7, filed 18 June 2002. Claims 31-36 are pending and under examination in the instant application.

Sequence Compliance

3. The instant specification is objected to and is not in Sequence Compliance for the following deficiencies:

At page 6 of the specification, there are nucleic acid sequences which are represented by a Sequence identifier. It is not clear if these sequence are part of a larger sequence already present in the Sequence Listing, or if they are sequences which need to be added. Regardless, these sequences must have a Sequence identifier associated with them (see 37 CFR 1.821(d)). If these sequence require the addition of Sequence identifiers to the Sequence Listing, a new paper copy and computer copy of the Sequence Listing will be required as well as a statement that the paper and computer copies are the same and include no new matter. If these nucleic acid sequences are part of a larger sequence, reference should be made to the positions and the corresponding

Sequence identifier (such as nucleotides 20-30 of SEQ ID NO:100, for example), and a new Sequence Listing would not be required. Correction is required in response to this Office action.

The amino acid sequence at page 10, line 21 (Arg-X-X-Arg) and page 38, line 25 is also encompassed by the Rules regarding nucleotide and/or amino acid sequence disclosures in Patent applications (See MPEP 2422 and 37 CFR 1.821(a)), and therefore, also requires a Sequence identifier. Correction is required in response to this Office action.

Claim Rejections - 35 USC § 101

4. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

5. Claims 31-36 are rejected under 35 U.S.C. 101 because the claimed invention is drawn to an invention with no apparent or disclosed specific and substantial credible utility. The instant application has provided a description of an isolated DNA encoding a protein and the protein encoded thereby. The instant application does not disclose the biological role of this protein/DNA or its significance.

It is clear from the instant specification that the "insulin homolog polypeptide Zins4" described therein is what is termed an "orphan protein" in the art. This is a protein whose cDNA has been isolated because of its similarity to known proteins; in the instant case, similarity to

Page 4

relaxin and insulin. There is little doubt that, after complete characterization, this protein may be found to have a specific, substantial and credible utility. This further characterization, however, is part of the act of invention and until it has been undertaken, Applicant's claimed invention is incomplete. The instant situation is directly analogous to that which was addressed in *Brenner v. Manson*, 148 U.S.P.Q. 689 (Sus. Ct, 1966), in which a novel compound which was structurally analogous to other compounds which were known to possess anti-cancer activity was alleged to be potentially useful as an anti-tumor agent in the absence of evidence supporting this utility. The court expressed the opinion that all chemical compounds are "useful" to the chemical arts when this term is given its broadest interpretation. However, the court held that this broad interpretation was not the intended definition of "useful" as it appears in 35 U.S.C. §101, which requires that an invention must have either an immediately obvious or fully disclosed "real world" utility. The court held that:

"The basic quid pro quo contemplated by the Constitution and the Congress for granting a patent monopoly is the benefit derived by the public from an invention with substantial utility", "[u]nless and until a process is refined and developed to this point-where specific benefit exists in currently available form-there is insufficient justification for permitting an applicant to engross what may prove to be a broad field", and "a patent is not a hunting license", "[i]t is not a reward for the search, but compensation for its successful conclusion."

The instant claims are drawn to a protein (and compositions thereof) of as yet undetermined function or biological significance. It is clear, based on amino acid sequence similarity, that the protein of the claimed invention is evolutionarily related to insulin and relaxin, and therefore a new member of the insulin/relaxin family. However, this family of proteins is divergent in function and therefore, there is no well-established utility for the family members based on amino

acid sequence identity alone. The biological activities of insulin are very different from those of relaxin (see Straus, Endocrine Rev. 5(2): 356-369, 1984 and Bryant-Greenwood et al., Endocrine Rev. 15(1): 5-26, 1994), although the proteins are clearly of similar structure (see Bryant-Greenwood, Endocrine Rev. 3(1): 62-90, 1982). A sequence comparison of the claimed protein reveals approximately 50% amino acid identity to both insulin and relaxin family members (percentages differ depending on the protein of the family). Therefore, there is as much structural similarity to insulin as there is to relaxin, and one of ordinary skill in the art would not know if the biological activities of relaxin or insulin will be possessed, or if the protein will have its own distinct biological activity. The instant specification asserts that the claimed polypeptide may be used for pregnancy support (page 42 of the specification, for enhancing fertilization during assisted reproduction (page 42), for treating reproductive disorders (page 43), for treatment of disorders associated with gonadal development, pregnancy, pubertal changes, menopause, ovarian cancer, fertility, ovarian function, polycystic ovarian syndrome and other reproductive functions. modulation/treatment/prevention of pathological conditions in ovary, as well as suppression or control of ovulation for birth control (page 43, paragraph 2-3). The specification further asserts use of the claimed invention for diagnostic methods to analyze reproductive function or evaluation of ovarian cancer (page 43, bottom). Additionally, the specification asserts that the claimed polypeptide may modulate contractility in certain tissues and may be use for treatment of cardiovascular disease, infertility, in vitro fertilization, birth control, treating impotence or other male reproductive dysfunction, as well as inducing birth (see page 44, paragraph 1).

There is absolutely no evidence of record or any line of reasoning that would support the asserted uses or biological activities asserted in the instant specification. Furthermore, there is absolutely no evidence of record or any line of reasoning that would support a conclusion that the claimed polypeptide and compositions can be used in any method of treatment as implied in the specification, because it is not known what conditions/disorders/diseases would be responsive to the claimed invention, if any, because no biological activity has been disclosed for the claimed invention. Until some actual and specific significance can be attributed to the claimed protein of SEQ ID NO:2, the instant invention is incomplete. The disclosure that the claimed invention shares sequence similarity with relaxin and insulin is not a disclosure of how to use the claimed invention because the proteins which the claimed invention is related to have distinct biological activities and could not be used in the same manner. Furthermore, the biological activity or significance of the claimed invention cannot be predicted based on amino acid sequence information alone because the class of compounds to which the instant invention is related has divergent biological activities. In the absence of a knowledge of the biological activity or significance of the claimed invention, there is no immediately obvious patentable use for it. To employ the polypeptide of the instant invention in any of the disclosed methods would clearly be using it as the object of further research which has been determined by the courts to be a utility which, alone, does not support patentability. Since the instant specification does not disclose a credible "real world" use for claimed polypeptide and compositions thereof, then the claimed invention is incomplete and, therefore, does not meet the requirements of 35 U.S.C. §101 as being useful.

Page 6

Application/Control Number: 09/781,077

Art Unit: 1647

Page 7

6. Claims 31-36 are rejected under 35 U.S.C. §112, first paragraph, as failing to adequately teach how to use the instant invention for those reasons given above with regard to the rejection of these claims under 35 U.S.C. §101.

Conclusion

7. No claim is allowed.

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Christine J. Saoud, Ph.D., whose telephone number is (703) 305-7519. The Examiner can normally be reached on Monday to Thursday from 8AM to 2PM. If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Gary Kunz, can be reached on (703) 308-4623.

Certain papers related to this application may be submitted to Technology Center 1600 by facsimile transmission. Papers should be faxed to Technology Center 1600 via the PTO Fax Center located in Crystal Mall 1 (CM1). The faxing of such papers must conform with the notices published in the Official Gazette, 1156 OG 61 (November 16, 1993) and 1157 OG 94 (December 28, 1993) (see 37 C.F.R. §§ 1.6(d) and 1.8). NOTE: If Applicant *does* submit a paper by fax, the original signed copy should be retained by Applicant or Applicant's representative. NO DUPLICATE COPIES SHOULD BE SUBMITTED so as to avoid the processing of duplicate papers.

Official papers filed by fax should be directed to (703) 872-9306. If this number is out of service, please call the Group receptionist for an alternate number. Official papers filed After Final rejection filed by fax should be directed to (703) 872-9307.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Christine J. Sacud

FORM PTO 1 (REV 2-32)	449			RTMENT OF COMMERCE TRADEMARK OFFICE	File No. 00	-18	Ser	eet <u>1 of 1</u> rial No. 781,077
BERIS			DISCLOSU Y APPLICA		Applicant(s James L. F	s): Holloway <i>et a</i>	.l al.	
1 8 2002	(Use s	several she	eets if nece	ssary)	Filing Date			oup:
MAGENIA	· .			U.S. PATENT DOCUMENT	February 9	, 2001	164	17
EXAMINER INITIAL		CUMENT MBER	DATE	NAME	CLASS	SUBCLASS	s	FILING DATE
				CODEION DATENT DOCUME	-170			
EXAMINER INITIAL		CUMENT MBER	DATE	COUNTRY	CLASS	SUBCLASS	s	FILING DATE
I	O1 A1			(Including Author, Title, Dach Report for application No. PCT/U		Patents, e	tc.)	
	A2			e Institute, "Sequencing of Human (n		
		Acce	ession No. AC	022098 (January 27, 2000).				
	A3			nuel CS, Burazin TC, et al., "Human Biological Chemistry 277(2):1148-11			juivaler	nt mouse relaxin (N
		I		Date consi	dered			

RECEIVED

JUN 2 4 2002

Notice of References Cited

Application/Control No. 09/781,077	Applicant(s)/Paten HOLLOW	
Examiner Christine Saoud	Art Unit 1647	Page 1 of 1

U.S. PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Cla	ssification ²
A				ľ	
В					
С					
D		, ,			
Ε					
F					
G					
н					
ı					
J					
к					
٦					
м					

FOREIGN PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY ¹	Country	Name	Classification ²
C					
F					
C					
F					
s					
Т					

NON-PATENT DOCUMENTS

	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
U	Bryant-Greenwood et al. Endocrine Reviews. 15(1): 5-26, 1994.
v	Bryant-Greenwood et al. Endocrine Reviews. 3(1): 62-90, 1982.
w	Straus. Endocrine Reviews. 5(2): 356-369, 1984.
x	

^{*} A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).

² Classifications may be U.S. or foreign.

Express Mail Label No.: EV33...15406US

Date of Deposit: June 19, 2003

OT 0 1 2003 8

Attorney Docket No. 00-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Holloway et al.

ASSIGNEE:

ZYMOGENETICS, INC.

SERIAL NUMBER:

09/781,077

EXAMINER:

C. Saoud, Ph.D.

FILING DATE:

February 9, 2001

ART UNIT:

1647

FOR:

INSULIN HOMOLOG POLYPEPTIDE ZINS4

I hereby certify that this correspondence with the enclosures listed below is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: ally Blatty- Yasufake

June 19, 2003 Seattle, Washington

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

This paper is in response to the Office Action mailed December 19, 2002, and is due on June 19, 2003 with a three (3) month extension of time. Accordingly, Applicants enclose herewith the appropriate response, a Petition for a three (3) month extension of time pursuant to 37 C.F.R §1.136(a) and the required fee under 37 C.F.R. §1.17(c). However, the Commissioner is hereby authorized to charge any fee due with this submission, or credit any overpayment of same, to Deposit Account No. 26-0290; Reference No. 00-18.

In response to the Office Action mailed December 19, 2002, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of the claims, which begins on page 4 of this paper.

Remarks/Arguments begin on page 11 of this paper.



Amendments to the Specification:

Please replace the paragraph beginning at pg. 6, line 16, with the following amended paragraph:

The term "complements of a polynucleotide molecule" denotes a polynucleotide molecule having a complementary base sequence and reverse orientation as compared to a reference sequence.

Please replace the paragraph beginning at pg. 6, line 20, with the following amended paragraph:

The term "contig" denotes a polynucleotide that has a contiguous stretch of identical or complementary sequence to another polynucleotide. Contiguous sequences are said to "overlap" a given stretch of polynucleotide sequence either in their entirety or along a partial stretch of the polynucleotide.

Please replace the paragraph beginning at pg. 10, line 7, with the following amended paragraph:

Processing of the protein involves cleavage at the C-terminus of the signal peptide, and, based on predicted structural homology with other mature members of the insulin family, a cleavage at the C-terminus of the B chain and at the N-terminus of the A chain, resulting in removal of the C-peptide. Analysis of the zins4 polypeptide of SEQ ID NO:2 with other known members of the insulin family suggests a signal peptide cleavage site in the region of amino acid residue 25 (Ala) of SEQ ID NO:2. Cleavage at the C-terminus of the B chain is predicted to be at the C-terminal of amino acid residue 53 (Arg) or residue 54 (Arg) followed by cleavage of the Arg residues by carboxypeptidase to leave amino acid residue 52 (Trp) as the C-terminal amino acid residue. Cleavage sites resulting in the N-terminus of the A chain are suggested in the region of amino acid residue 115 (Arg) to 118 (Arg). Cleavage is predicted to be after the C-terminus of amino acid residue 118 (Arg) leaving amino acid residue 119 (Asp) as the N-terminal amino acid residue of the A chain. The C-terminal amino acid is residue 142 (Cys). The cleavage site at the

09/781,077

Holloway et al.

Response to the December 19, 2002 Office Action

junction of the C-peptide and A chain is highly conserved, occurring after Arg-X-X-Arg (SEQ ID NO:13; wherein X is any amino acid residue), Arg-Arg or Lys-Arg; however, the cleavage sites at the junction of the signal sequence and B chain, and at the junction of the B chain and C-peptide, do not maintain a similarly high degree of conservation within the insulin family.

Dated: June 19, 2003

Dated: June 19, 2003

Listing of the Claims:

Claims 1 through 36 (cancelled).

- 37. (New claim) An isolated polypeptide comprising an amino acid sequence selected from the group consisting of:
 - (a) an amino acid sequence from residue 1 (Met) to residue 25 (Ala) of SEQ ID NO:2;
 - (b) an amino acid sequence from residue 1 (Met) to residue 52 (Trp) of SEQ ID NO:2;
 - (c) an amino acid sequence from residue 1 (Met) to residue 118 (Arg) of SEQ ID NO:2;
 - (d) an amino acid sequence from residue 1 (Met) to residue 142 (Cys) of SEQ ID NO:2;
 - (e) an amino acid sequence from residue 26 (Arg) to residue 52 (Trp) of SEQ ID NO:2;
 - (f) an amino acid sequence from residue 26 (Arg) to residue 53 (Arg) of SEQ ID NO:2;
 - (g) an amino acid sequence from residue 26 (Arg) to residue 54 (Arg) of SEQ ID NO:2;
 - (h) an amino acid sequence from residue 26 (Arg) to residue 114 (Leu) of SEQ ID NO:2;
 - (i) an amino acid sequence from residue 26 (Arg) to residue 118 (Arg) of SEQ ID NO:2;
 - (i) an amino acid sequence from residue 34 (Leu) to residue 47 (Cys) of SEQ ID NO:2;
 - (k) an amino acid sequence from residue 37 (Arg) to residue 41 (Arg) of SEQ ID NO:2;
 - (1) an amino acid sequence from residue 55 (Ser) to residue 114 (Leu) of SEQ ID NO:2;
 - (m) an amino acid sequence from residue 55 (Ser) to residue 115 (Arg) of SEO ID NO:2;
 - (n) an amino acid sequence from residue 55 (Ser) to residue 116 (Gly) of SEQ ID NO:2;
 - (o) an amino acid sequence from residue 55 (Ser) to residue 117 (Ser) of SEQ ID NO:2;
 - (p) an amino acid sequence from residue 55 (Ser) to residue 118 (Arg) of SEQ ID NO:2;
 - (q) an amino acid sequence from residue 55 (Ser) to residue 142 (Cys) of SEQ ID NO:2;
 - (r) an amino acid sequence from residue 115 (Arg) to residue 142 (Cys) of SEQ ID NO:2;
 - (s) an amino acid sequence from residue 116 (Gly) to residue 142 (Cys) of SEQ ID NO:2;

Response to the December 19, 2002 Office Action

- (t) an amino acid sequence from residue 117 (Ser) to residue 142 (Cys) of SEQ ID NO:2;
- (u) an amino acid sequence from residue 118 (Arg) to residue 142 (Cys) of SEQ ID NO:2;
- (v) an amino acid sequence from residue 119 (Asp) to residue 142 (Cys) of SEQ ID NO:2; and
- (w) an amino acid sequence from residue 128(Cys) to residue 142 (Cys) of SEQ ID NO:2.
- 38. (New claim) The isolated polypeptide of claim 37, further comprising an affinity tag.
- 39. (New claim) The isolated polypeptide of claim 38, wherein the affinity tag is selected from the group consisting of: poly-histidine tract, protein A, glutathione S transferase, Glu-Glu affinity tag, substance P, Flag peptide, streptavidin binding peptide, maltose-binding protein, and an immunoglobulin domain.
- 40. (New claim) An isolated polypeptide consisting of an amino acid sequence selected from the group consisting of:
 - (a) an amino acid sequence from residue 1 (Met) to residue 25 (Ala) of SEQ ID NO:2;
 - (b) an amino acid sequence from residue 1 (Met) to residue 52 (Trp) of SEQ ID NO:2;
 - (c) an amino acid sequence from residue 1 (Met) to residue 118 (Arg) of SEQ ID NO:2;
 - (d) an amino acid sequence from residue 1 (Met) to residue 142 (Cys) of SEQ ID NO:2;
 - (e) an amino acid sequence from residue 26 (Arg) to residue 52 (Trp) of SEQ ID NO:2;
 - (f) an amino acid sequence from residue 26 (Arg) to residue 53 (Arg) of SEQ ID NO:2;
 - (g) an amino acid sequence from residue 26 (Arg) to residue 54 (Arg) of SEQ ID NO:2;
 - (h) an amino acid sequence from residue 26 (Arg) to residue 114 (Leu) of SEQ ID NO:2;
 - (i) an amino acid sequence from residue 26 (Arg) to residue 118 (Arg) of SEQ ID NO:2;
 - (j) an amino acid sequence from residue 34 (Leu) to residue 47 (Cys) of SEQ ID NO:2;
 - (k) an amino acid sequence from residue 37 (Arg) to residue 41 (Arg) of SEQ ID NO:2;
 - (1) an amino acid sequence from residue 55 (Ser) to residue 114 (Leu) of SEQ ID NO:2;

- Dated: June 19, 2003
- (m) an amino acid sequence from residue 55 (Ser) to residue 115 (Arg) of SEQ ID NO:2;
- (n) an amino acid sequence from residue 55 (Ser) to residue 116 (Gly) of SEQ ID NO:2;
- (o) an amino acid sequence from residue 55 (Ser) to residue 117 (Ser) of SEQ ID NO:2;
- (p) an amino acid sequence from residue 55 (Ser) to residue 118 (Arg) of SEQ ID NO:2;
- (q) an amino acid sequence from residue 55 (Ser) to residue 142 (Cys) of SEQ ID NO:2;
- (r) an amino acid sequence from residue 115 (Arg) to residue 142 (Cys) of SEQ ID NO:2;
- (s) an amino acid sequence from residue 116 (Gly) to residue 142 (Cys) of SEQ ID NO:2;
- (t) an amino acid sequence from residue 117 (Ser) to residue 142 (Cys) of SEQ ID NO:2;
- (u) an amino acid sequence from residue 118 (Arg) to residue 142 (Cys) of SEQ ID NO:2;
- (v) an amino acid sequence from residue 119 (Asp) to residue 142 (Cys) of SEQ ID NO:2; and
- (w) an amino acid sequence from residue 128(Cys) to residue 142 (Cys) of SEQ ID NO:2.
- 41. (New claim) An isolated polypeptide comprising SEQ ID NO:2.
- 42. (New claim) The isolated polypeptide of claim 41, further comprising an affinity tag.
- 43. (New claim) The isolated polypeptide of claim 42, wherein the affinity tag is selected from the group consisting of: poly-histidine tract, protein A, glutathione S transferase, Glu-Glu affinity tag, substance P, Flag peptide, streptavidin binding peptide, maltose-binding protein, and an immunoglobulin domain.
- 44. (New claim) An isolated polypeptide consisting of SEQ ID NO:2.
- 45. (New claim) An isolated polypeptide comprising:
 - (a) a B chain comprising amino acid sequence selected from the group consisting of:

09/781.077

- (i) an amino acid sequence from residue 26 (Arg) to residue 52 (Trp) of SEQ ID NO:2,
- (ii) an amino acid sequence from residue 26 (Arg) to residue 53 (Arg) of SEQ ID NO:2,
- (iii) an amino acid sequence from residue 26 (Arg) to residue 54 (Arg) of SEQ ID NO:2,
- (iv) an amino acid sequence from residue 34 (Leu) to residue 47 (Cys) of SEQ ID NO:2, and
- (v) an amino acid sequence from residue 37 (Arg) to residue 41 (Arg) of SEQ ID NO:2; and
- (b) a C peptide comprising an amino acid sequence selected from the group consisting of:
 - (i) an amino acid sequence from residue 55 (Ser) to residue 114 (Leu) of SEQ ID NO:2,
 - (ii) an amino acid sequence from residue 55 (Ser) to residue 115 (Arg) of SEQ ID NO:2,
 - (iii) an amino acid sequence from residue 55 (Ser) to residue 116 (Gly) of SEQ ID NO:2,
 - (iv) an amino acid sequence from residue 55 (Ser) to residue 117 (Ser) of SEQ ID NO:2, and
 - (v) an amino acid sequence from residue 55 (Ser) to residue 118 (Arg) of SEQ ID NO:2; and
- (c) an A chain comprising an amino acid sequence selected from the group consisting of:
 - (i) an amino acid sequence from residue 115 (Arg) to residue 142 (Cys) of SEQ ID NO:2,
 - (ii) an amino acid sequence from residue 116 (Gly) to residue 142 (Cys) of SEQ ID NO:2,
 - (iii) an amino acid sequence from residue 117 (Ser) to residue 142 (Cys) of SEQ ID NO:2,
 - (iv) an amino acid sequence from residue 118 (Arg) to residue 142 (Cys) of SEQ ID NO:2,

Dated: June 19, 2003

- (v) an amino acid sequence from residue 119 (Asp) to residue 142 (Cys) of SEQ ID NO:2, and
- (vi) an amino acid sequence from residue 128(Cys) to residue 142 (Cys) of SEQ ID NO:2,

wherein the B chain, C peptide and A chain are joined by inter- and intra-chain disulfide bonds.

- 46. (New claim) The isolated polypeptide of claim 45, wherein the B chain comprises an an amino acid sequence from residue 26 (Arg) to residue 52 (Trp) of SEQ ID NO:2, the C peptide comprises an amino acid sequence from residue 55 (Ser) to residue 114 (Leu) of SEQ ID NO:2, and the A chain comprises an amino acid sequence from residue 119 (Asp) to residue 142 (Cys) of SEQ ID NO:2.
- 47. (New claim) The isolated polypeptide of claim 45, further comprising an affinity tag.
- 48. (New claim) The isolated polypeptide of claim 47, wherein said affinity tag is selected from the group consisting of: poly-histidine tract, protein A, glutathione S transferase, Glu-Glu affinity tag, substance P, Flag peptide, streptavidin binding peptide, maltose-binding protein, and an immunoglobulin domain.
- 49. (New claim) An isolated polypeptide comprising:
 - (a) a B chain comprising amino acid sequence selected from the group consisting of:
 - (i) an amino acid sequence from residue 26 (Arg) to residue 52 (Trp) of SEQ ID NO:2,
 - (ii) an amino acid sequence from residue 26 (Arg) to residue 53 (Arg) of SEQ ID NO:2,
 - (iii) an amino acid sequence from residue 26 (Arg) to residue 54 (Arg) of SEQ ID NO:2,
 - (iv) an amino acid sequence from residue 34 (Leu) to residue 47 (Cys) of SEQ ID NO:2, and
 - (v) an amino acid sequence from residue 37 (Arg) to residue 41 (Arg) of SEQ ID NO:2; and

(b) an A chain comprising an amino acid sequence selected from the group consisting of:

- (i) an amino acid sequence from residue 115 (Arg) to residue 142 (Cys) of SEQ ID NO:2,
- (ii) an amino acid sequence from residue 116 (Gly) to residue 142 (Cys) of SEQ ID NO:2,
- (iii) an amino acid sequence from residue 117 (Ser) to residue 142 (Cys) of SEQ ID NO:2,
- (iv) an amino acid sequence from residue 118 (Arg) to residue 142 (Cys) of SEQ ID NO:2,
- (v) an amino acid sequence from residue 119 (Asp) to residue 142 (Cys) of SEQ ID NO:2, and
- (vi) an amino acid sequence from residue 128(Cys) to residue 142 (Cys) of SEQ ID NO:2,

wherein the B chain and A chain are joined by inter- and intra-chain disulfide bonds.

- 50. (New claim) The isolated protein of claim 49, wherein the B chain comprises an an amino acid sequence from residue 26 (Arg) to residue 52 (Trp) of SEQ ID NO:2, and the A chain comprises an amino acid sequence from residue 119 (Asp) to residue 142 (Cys) of SEQ ID NO:2.
- 51. (New claim) The isolated protein of claim 45, further comprising an affinity tag.
- 52. (New claim) The isolated protein of claim 51, wherein said affinity tag is selected from the group consisting of: poly-histidine tract, protein A, glutathione S transferase, Glu-Glu affinity tag, substance P, Flag peptide, streptavidin binding peptide, maltose-binding protein, and an immunoglobulin domain.
- 53. (New claim) A composition, comprising a pharmaceutically acceptable carrier and a polypeptide of claim 37.
- 54. (New claim) A composition, comprising a pharmaceutically acceptable carrier and a polypeptide of claim 41.

Response to the December 19, 2002 Office Action

55. (New claim) A composition, comprising a pharmaceutically acceptable carrier and a polypeptide of claim 45.

Dated: June 19, 2003

56. (New claim) A composition, comprising a pharmaceutically acceptable carrier and a polypeptide of claim 49.

Remarks

Upon entry of the foregoing amendments, claims 37 - 56 are under consideration. Applicants have cancelled claims 31 - 36 and added new claims 37 - 56 to more clearly define the present invention. New claims 37 - 56 are directed to polypeptides based on SEQ ID NO:2 in general. More particularly, new claims 37 - 56 are directed to structural elements within the polypeptides of the present invention. Specifically, new claims 37 - 44 are directed to structural elements with Zins4, including the B chain, C peptide and the A chain. New claims 45 - 52 are directed to polypeptides which comprising the B chain, C peptide and A chain, as well as polypeptides which only contain the B chain and the A chain. New claims 53 - 56 are directed to compositions comprising a pharmaceutically acceptable carrier and the polypeptides of the present invention. Basis for these new claims can be found in the Specification as originally filed, and specifically in original claims 1 - 8 and 30, and at pg. 2, lines 13-19; pg. 3, lines 1-20; pg. 10, lines 7-28; and pg. 11, lines 5-11.

Applicants have amended the Specification at pg. 6 to remove the nucleic acid sequences used as examples of either a hypothetical "complementary sequence" or a hypothetical "contig" as they neither encompass the present invention nor are necessary to practice the present invention. Applicants have amended the Specification at pg. 10 to include a sequence identifier for the amino acid sequence Arg-X-X-Arg.

The present amendments add no new matter.

SEQUENCE COMPLIANCE

The Examiner has objected to the Specification as not being in compliance with the Sequence Rules under 37 C.F.R. §1.821(d).

Applicants have amended the Specification at pg. 6 to remove the nucleic acid sequences used as examples of either a hypothetical "complementary sequence" or a hypothetical "contig." These sequences were merely included as an exemplification of each term. These sequences are not necessary to practice the present invention.

Applicants have amended the Specification at pg. 10 to include identify the amino acid sequence Arg-X-X-Arg as SEQ ID NO:13. Applicants file concurrently herewith a replacement Sequence Listing in compliance with the Sequence Rules under 37 C.F.R. §1.821(d). The

replacement Sequence Listing reflects the addition of new SEQ ID NO:13, as described above.

Accordingly, Applicants believe that the present objections are now moot.

THE §101 REJECTION

The Examiner has rejected claims 31 – 36 under 35 U.S.C. §101, alleging that the claimed invention has no apparent or disclosed specific and substantial credible utility, as the instant application does not disclose the biological role of the claimed protein/DNA or its significance.

Applicants traverse. Applicants respectfully submit that the rejection is contrary to both the law and the United States Patent Office's own examination guidelines. The application of these standards to biotechnology inventions is discussed in the January 5, 2001 Utility

Examination Guidelines, which state:

An invention has a well-established utility if a person of ordinary skill would immediately appreciate why the invention is useful based on the characteristics of the invention (e.g., properties...), and the utility is specific, substantial, and credible...

See e.g. Utility Examination Guidelines, 66 F.R. 4 at pg. 1098, §II.B.1(c)(1). Moreover, "[a] patent examiner must accept a utility asserted by an applicant unless the Office has sound scientific reasoning to rebut the assertion." *Id*.

Structural similarity with a compound that has a known therapeutic or pharmacological utility is routinely found to be indicative of a well-established utility and supportive of an assertion of therapeutic utility for a similar compound. *See e.g.*, M.P.E.P. 2107.03; *see also*, In re Jolles, 628 F.2d 1322, 206 U.S.P.Q. 885 (CCPA 1980). And, as discussed in detail in the January 5, 2001 Federal Register Notice of the United States Patent

Office's Utility Examination Guidelines:

When a class of proteins is defined such that the members share a specific, substantial, and credible utility, the reasonable assignment of a new protein to the class of sufficiently conserved proteins would impute the same specific, substantial, and credible utility to the assigned protein. . . . [A] 'rigorous correlation' need not be shown in order to establish practical utility; 'reasonable correlation' is sufficient.

Dated: Jun 19, 2003

See e.g. Utility Examination Guidelines, 66 F.R. 4 at pg. 1096.

Applicants contend that the Office has not established a *prima facie* showing of lack of utility, nor provided sound scientific reasoning to rebut the assertion of utility in the application. As detailed below, one of skill in the art upon reading the specification would appreciate that the Zins4 polypeptides of the present invention are useful because Zins4 is a member of the relaxin superfamily.

As stated in the Specification, the polypeptides of the present invention have "homology to the relaxin family." See e.g. Specification at pg. 9, lines 13-15. Specifically, these polypeptides share numerous structural similarities with the hormone relaxin. For instance, the polypeptides of the present invention contain a B chain-C peptide-A chain motif found in the relaxins. Id at pg. 9, line 36 through pg. 10, line 1. More specifically, the polypeptides of the present invention share a classical relaxin structure, known as the "cysteine motif," which is highly conserved in the B and A chains of relaxin. Id at pg. 9, lines 27-35. In fact, "[s]equence analysis indicates that the human polypeptide sequence (SEQ ID NO:2) is structurally equivalent to other members of the [relaxin] family." Id at pg. 10, lines 5-6. Further, the length of the B chain, C peptide and A chain correspond closely to those of relaxin itself. Id at pg. 10, line 7 through pg. 11, line 15. Most importantly, the polypeptides of the present invention contain a R-x-x-R-x-x-I motif in the middle of the B-chain (starting at amino acid residue 37 (Arg) through residue 44 (Ile) of SEQ ID NO:2). This motif has been determined to be "essential for relaxin receptor binding." See e.g., Bathgate et al., J. Bio. Chem. 227:2 1148-1157 (2001) (cited in the June 12, 2002 Information Disclosure Statement as reference "A3") (emphasis added); see also, Specification at pg. 9, lines 31-35. In fact, Zins4 and relaxin alone share this B chain motif. These structural characteristics are well known in the art and are recognized as defining and directing relaxin's biological function(s). The presence of these

Dated: June 19, 2003

structural similarities would lead one of ordinary skill in the art to conclude that the polypeptides of the present invention are closely related to relaxin and consequently are more likely than not to have a substantially similar biological function as relaxin.

Furthermore, relaxin has a well-known and established biological function and many well-known utilities generally associated with female reproductive tract physiology. See e.g., Bathgate et al., J. Bio. Chem. 227:2 1148-1157 (2001). Specifically, relaxin has been shown to have utility in its ability to inhibit myometrial contractions, to stimulate remodeling of the connective tissue and to induce softening of the tissues of the birth canal. Id at pg. 1148. Relaxin has also demonstrated utility by its ability to breakdown of collagen, one of the main components of connective tissue. Id.

And, as acknowledged by the Examiner in her December 19, 2002 Office Action, Applicants have asserted a number of utilities which directly related to Zins4 application in female reproductive tract physiology, including contractility of tissues such as myometrial. See e.g. Specification at pg. 44, lines 13-37. Thus, one skilled in the art, in light of Zins4 obvious homology to relaxin, would immediately recognize and appreciate that the polypeptides of the present invention are useful in the same manner that relaxin itself is useful. Accordingly, one skilled in the art would immediately recognize the polypeptides of the present invention have a "real world use" that is specific, substantial and credible.

The Examiner has stated that the "instant claims are drawn to a protein (and compositions thereof) of as yet undetermined function or biological significance" and consequently, the "instant specification does not disclose a credible 'real world' use. See e.g., December 19, 2002 Office Action at pg. 4.

Applicants disagree. Zins4 does indeed have an established and recognized biological function and significance. As discussed in detail above, Applicants have disclosed a biological function(s) for the polypeptides of the present invention. The presence of the disclosed structural similarities of Zins4 and relaxin would lead one of ordinary skill in the art to conclude that the polypeptides of the present invention are part of the relaxin family of proteins and consequently are more likely than not to share relaxin's well-known biological function(s). Furthermore, Bathgate *et al.* further substantiated the biological function of Zins4. Specifically, they used the

identical polypeptide, designated as "H3 relaxin," which is disclosed in the present application as Zins4 (SEQ ID NO:2) to determine biological function of the polypeptide:

Therefore, our data provide conclusive evidence that this novel peptide retains the structural features necessary for interaction with, and activation of, relaxin receptors and can therefore be termed a "relaxin."

See e.g., Bathgate et al. at pg. 1156.

Applicants assert that the polypeptides of the present invention would be recognized by one skilled in the art as having actual and specific significance. Applicants also assert that one skilled in the art would immediately recognize that the polypeptides of the present invention have a well-known biological function based on the surrounding art and the structural similarities of these polypeptides to relaxin. Thus, Applicants assert that the present Application does indeed disclose a credible "real world" use for the claimed polypeptides.

Based on the foregoing, it is clear that Examiner's assertions that the "claimed invention has no apparent or disclosed specific and substantial credible utility", as the instant application "does not disclose the biological role of the claimed protein/DNA or its significance" are unfounded. New claims 37 – 56 are indeed supported by a well-established and specific and substantial credible utility as described above. This is more than 35 U.S.C. §101 requires. The Office has not established a *prima facie* showing of lack of utility, nor sound scientific reasoning to rebut the assertions of utility in the application. Consequently, Applicants request that the Examiner withdraw the present rejection under 35 U.S.C. §101.

THE §112, FIRST PARAGRAPH REJECTION

The Examiner has rejected claims 31 - 36 under 35 U.S.C. §112, first paragraph, alleging that the Specification fails "to adequately teach how to use the instant invention for those reasons given above with regard to the rejection of these claims under 35 U.S.C.

Applicants traverse. Applicants have indeed taught how to use the instant invention. As discussed above, Applicants have shown that the polypeptides of the present invention share numerous structural similarities with relaxin and, in fact, have been classified by those skilled in the art as being relaxins. Consequently, Applicants have shown a biological activity for the

polypeptides of the present invention. Thus, Applicants assert that the Specification more than

adequately teaches how to use the present invention.

Accordingly, Applicants maintain that they have indeed asserted a specific and substantial

credible utility and well-established utility for the claimed polypeptides. The Zins4 polypeptides

of the present invention are useful, and therefore one of skill in the art could make and use the

invention. Consequently, Applicants request that the Examiner withdraw the rejection of claim

11 under 35 U.S.C. §112, first paragraph.

CONCLUSION

On the basis of the foregoing amendments and remarks, Applicants respectfully submit

that the pending claims are in condition for allowance. If for any reason the Examiner feels that a

telephone conference would expedite prosecution of the Application, the Examiner is encouraged

to contact the undersigned at the telephone number provided below.

Respectfully submitted,

Dated: June 19, 2003

Shelby J. Walker, Reg. No. 45,192

Attorney for Applicants

ZYMOGENETICS, INC.

1201 Eastlake Avenue East

Seattle, Washington 98102-3702

Dated: June 19, 2003

Tel: (206) 442-6558

Fax: (206) 442-6678

Enclosures:

Petition and Fee for Extension of Time (in duplicate)

Amendment Fee Transmittal (in duplicate)

Postcard

H:\Patents\Shelby\00-18\Response to the December 19, 2002 Office Action.doc

File No: 00-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

James L. Holloway, Si Lok, Stephen R. Jaspers

Serial No.

09/781,077

Group Art Unit

: 1647

Examiner

: Saoud, C.

Filed

: February 9, 2001

For

: INSULIN HOMOLOG POLYPEPTIDE ZINS4

Date Submitted

: June 19, 2003

PETITION AND FEE FOR EXTENSION OF TIME (37 C.F.R. 1.136(a))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is respectfully requested that the time for response to the Office Action dated December 19, 2003 be extended for a period of three months from March 19, 2003 to June 19, 2003.

Applicants claim small entity status. Please charge the total fee, estimated to be \$465.00, to ZymoGenetics, Inc., Deposit Account No. 26-0290. A duplicate of this sheet is enclosed.

Respectfully submitted,

Shelby J. Walker

Registration No. 45,192

July of Walken

File No: 00-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

James L. Holloway, Si Lok, Stephen R. Jaspers

Serial No.

09/781,077

Group Art Unit

: 1647

Examiner

: Saoud, C.

Filed

: February 9, 2001

For

: INSULIN HOMOLOG POLYPEPTIDE ZINS4

Date Submitted

: June 19, 2003

PETITION AND FEE FOR EXTENSION OF TIME (37 C.F.R. 1.136(a))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is respectfully requested that the time for response to the Office Action dated December 19, 2003 be extended for a period of three months from March 19, 2003 to June 19, 2003.

Applicants claim small entity status. Please charge the total fee, estimated to be \$465.00, to ZymoGenetics, Inc., Deposit Account No. 26-0290. A duplicate of this sheet is enclosed.

Respectfully submitted,

helpy of Walken

Shelby J. Walker

Registration No. 45,192

File No: 00-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : James L. Holloway, Si Lok, Stephen R. Jaspers

Serial No.

09/781,077

Group Art Unit

: 1647

Examiner

: Saoud, C.

Filed

: February 9, 2001

For

: INSULIN HOMOLOG POLYPEPTIDE ZINS4

Date Submitted

: June 19, 2003

AMENDMENT FEE TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for the above-mentioned application. The fee required to be filed with the accompanying amendment has been calculated as shown below:

CT ATMC AC AMENDED

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Present Extra	Extra Rate	Fee
Total	20	-30	0	\$9.00	\$000.00
Independent	6	-8	0	\$42.00	\$000.00
1st Presentation	of Multiple Depen	dent Claim		\$140.00	\$000.00
				Total	\$000.00

Applicants claim small entity status. Please charge any required fee to ZymoGenetics, Inc., Deposit Account No. 26-0290. A duplicate of this sheet is enclosed.

Respectfully submitted,

Shelby J. Walker

Registration No. 45,192

File No: 00-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

James L. Holloway, Si Lok, Stephen R. Jaspers

Serial No.

09/781,077

Group Art Unit

: 1647

Examiner

: Saoud, C.

Filed

: February 9, 2001

For

: INSULIN HOMOLOG POLYPEPTIDE ZINS4

Date Submitted

: June 19, 2003

AMENDMENT FEE TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for the above-mentioned application. The fee required to be filed with the accompanying amendment has been calculated as shown below:

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Present Extra	Extra Rate	Fee
Total	20	-30	0	\$9.00	\$000.00
Independent	6	-8	0	\$42.00	\$000.00
1st Presentation	of Multiple Depen	dent Claim		\$140.00	\$000.00
				Total	\$000.00

Applicants claim small entity status. Please charge any required fee to ZymoGenetics, Inc., Deposit Account No. 26-0290. A duplicate of this sheet is enclosed.

Respectfully submitted,

Shelby J. Walker

Registration No. 45,192

File No.

00-18

Applicants:

James L. Holloway, Si Lok, Stephen R. Jaspers

USSN

09/781,077

Filed

February 9, 2001

For

INSULIN HOMOLOG POLYPEPTIDE ZINS4

The USPTO hereby acknowledges receipt of the following:

- Amendment and Certificate of Mailing (16 pages) 1.
- Amendment Fee Transmittal (in duplicate)
- Petition and Fee for Extension of Time (in duplicate) 3.

Via Express Mail Label No. EV331815406US on June 19, 2003



Mailing Label



Post Office To Addressee ORIGIN (POSTAL USE ONLY) DELIVERY (POSTAL USE ONLY). PO ZIP Code Flat Rate Envelope Delivery Attempt Employee Signatur Next Second □_{AM} □_{PM} Day Date in Delivery Attempt Employee Signature 12 Noon □ 3 РМ □am □pm Time In Return Receipt Fee **Delivery Date** Employee Signature 2nd Day 3rd Day AM PM Weight Int'l Alpha Country Code COD Fee WAIVER OF SIGNATURE (to waive, of signature is requested addressee or addressee's agent (if position) and I authorize that delive Insurance Fee No Delivery Acceptance Clerk Initials Total Postage & Fees Holiday NO DELIVERY Weekend \$ CUSTOMER USE ONLY
METHOD OF PAYMENT: Federal Agency Acct. No. or Postal Service Acct. No.

Express Mail Corporate Acct. No. FROM: (PLEASE PRINT)

TO: (PLEASE PRINT)

ZYMOGENETICS INC 1201 EASTLAKE AVE E SEATTLE

WA 98102-3702

MAIL STOP COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA VA 223

VA 22313-1450

,00-18 Ameril

013 Y

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com

14/ 100

F:02 T: 15

						tila gyagan ilin manananan kan kalifan indonén kabuna
						ing distribution of the second
			1. Attach Me	ter Strip or	. Attach Meter Strip or Stamps Here	81-00
up S	ment – / Mail, o	ervice Statement – Mail, Priority Mail, or Parcel Post	leges?	67S.		
2. Customer Name, and Address (No., Street, Suite No., City, and State)	No., City, an	d State) 3.	Express Mail		Quantity	
	∑		Priority Mail		Quantity	
ZIP + 4 9 5 1 0 2 3	5 2	N	Parcel Post		Quantity	
4. Custom Design Agreement	5. Method	5. Method of Payment			-	
CDA No.	No.	☐ Corporate Account No	☐ Federal Agency Account No.	ency Accou		☐ Meter Strip or Stamps (Apply fee in Item 1 above)
	9	Express Mail Label Numbers	Numbers			
Item No. Express Mail Label Number	Item No.	Express Mail Label Number	Number	Item No.	Express	Express Mail Label Number
1 EV331915410605	9			11		
2	7			12		
3	8			13		
4	6			41		
5	10	,		15	į	
7, Customer Signature	8a. USPS ز د	USPS Signature		8b, Date of Pickup	f Pickup	8c. Time of Pickup
PS Form 5541'-C, August 1991		THE STREET STATE STATE OF THE S				3 - Customer Copy

LEV 33181540b US



Customer Copy

Post Office To Addressee

ORIGIN (POSTAL USE ONLY)	(V. 1	DELIVERY (POSTA	L USE ONLY)	
	Flat Rate Envelope	Delivery Attempt	Time	Employee Signature
777		1		
Next Second		Mo. Day	AM PM	Employee Signature
Date/h	Postage	Delivery Attempt	lime	Employee Signature
l'a Maria	s 50	Mo. Day		
Mo Day Year 212 Noon . 3PM	Return Receipt Fee	Delivery Date	Time	Employee Signature
Time in Militery	Tigitalii Tigggini (as			8
MAM DAM Day 3rd Day		Mo. ∖Day	□ АМ □ РМ	
Weight Int'l Alpha Country Code	COD Fee Insurance Fee	WAIVER OF SIGNATUR	RE (Domestic Only) Addi	tional merchandise insurance is void if be made without obtaining signature of
	1 1 7 /	addresses or addresses 8 80	evolume vrevileb ill teer	ludges that article can be left in secure
No Delivery Acceptance Glerk Initials	Total Postage & Fees			nature constitutes valid proof of delivery.
	1 1 1 2 2 2 2	NO DELIVERY Weeker	Hollday	Customer Signature
Weekend Holiday	\$ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			Customer Signature
CUSTOMER USE ONLY METHOD OF PAYMENT:		Federal Agency Acct. No. or		
Express Mail Corporate Acct. No.	6 4	Postal Service Acct. No.		
		1		
FROM: (PLEASE PRINT) PHONE(TO: (PLEASE PRINT)	PHONE	
Г	, 7	「		r
and the second s			: 3	
ZYMOGENETICS INC IZULEASTLAKE AYE E	4A 93102-3 7 02	The Late of the la	ar Haraba at	J DATE OF S
ISUL EASTLAKE AYE E	en e		AUGEN TO	The state of the s
OSSATYLE	対対 スマアハマーマ1 ウマー	FU DUA.	EMPONE LES	VA 2/2313-1450
		ALLXANO	t it as	Age of the time of the same
		II .		
	,			
00-18 Aine S	n. 21 V			
00-18 Aine d.	A73¥	L		

SFile No. : 00-18

Applicants : James L. Holloway, Si Lok, Stephen R. Jaspers

US\$N : 09/781,077
 Filed : February 9, 2001

INSULIN HOMOLOG POLYPEPTIDE ZINS4

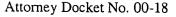
The USPTO hereby acknowledges receipt of the following:

1. Amendment and Certificate of Mailing (16 pages)
2. Amendment Fee Transmittal (in duplicate)

3. Petition and Fee for Extension of Time (in duplicate)

Via Express Mail Label No. EV331815406US on June 19

DOCKETED 718 10 3484





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Holloway et al.

ASSIGNEE:

ZYMOGENETICS, INC.

SERIAL NUMBER:

09/781,077

EXAMINER:

C. Saoud, Ph.D.

FILING DATE:

February 9, 2001

ART UNIT:

1647

FOR:

INSULIN HOMOLOG POLYPEPTIDE ZINS4

I hereby certify that this correspondence with the enclosures listed below is being deposited with the United States Postal Service as First Class Mail on the date indicated below and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: any Bratty Yartak

August 11, 2003 Seattle, Washington

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL AMENDMENT

This paper is supplemental to the response to the Office Action mailed December 19, 2002. The Commissioner is hereby authorized to charge any fee due with this submission, or credit any overpayment of same, to Deposit Account No. 26-0290; Reference No. 00-18.

09/781,077

Holloway et al.

Supplemental Response to th December 19, 2002 Office Action

Remarks

In Applicants' response mailed June 19, 2003, Applicants inadvertently failed to enclose

the replacement sequence listing referred to in the Remarks.

Applicants enclose herewith a replacement Sequence Listing in compliance with the

Sequence Rules under 37 C.F.R. §1.821(d). The replacement Sequence Listing reflects the

addition of new SEQ ID NO:13, as described above.

The content of the paper and computer readable copies are the same and, where

applicable, includes no new matter, as required by 37 CFR 1.821-1.825.

CONCLUSION

Applicants respectfully submit that the pending claims are in condition for allowance. If

for any reason the Examiner feels that a telephone conference would expedite prosecution of the

Application, the Examiner is encouraged to contact the undersigned at the telephone number

provided below.

Respectfully submitted,

Dated: August 11, 2003

Shelby J. Walker, Reg. No. 45,192

Attorney for Applicants

c/o ZYMOGENETICS, INC.

1201 Eastlake Avenue East

Seattle, Washington 98102-3702

Dated: August 11, 2003

Tel: (206) 442-6558

Fax: (206) 442-6678

Enclosures:

Paper Copy of Sequence Listing (6 sheets)

Sequence Listing Diskette

Postcard

Page 2 of 2

PATENT APPLICATION

File No: 00-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

James L. Holloway, Si Lok, Stephen R. Jaspers

Serial No.

09/781,077

Group Art Unit

: 1647

Examiner

: Saoud, C.

Filed

: February 9, 2001

For

Date Submitted

: INSULIN HOMOLOG POLYPEPTIDE ZINS4 : August 11, 2003

AMENDMENT FEE TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Supplemental Amendment for the above-mentioned application. The fee required to be filed with the accompanying amendment has been calculated as shown below:

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Present Extra	Extra Rate	Fee
Total	20	-30	0	\$9.00	\$000.00
Independent	6	-8	0	\$42.00	\$000.00
1st Presentation	of Multiple Depen	dent Claim		\$140.00	\$000.00
				Total	\$000.00

Applicants claim small entity status. Please charge any required fee to ZymoGenetics, Inc., Deposit Account No. 26-0290. A duplicate of this sheet is enclosed.

Respectfully submitted,

Shelby J. Walker

Registration No. 45,192

PATENT APPLICATION

File No: 00-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

: James L. Holloway, Si Lok, Stephen R. Jaspers

: INSULIN HOMOLOG POLYPEPTIDE ZINS4

Serial No.

: 09/781,077

Group Art Unit

: 1647

Examiner

: Saoud, C.

Filed

For

: February 9, 2001

Date Submitted

: August 11, 2003

AMENDMENT FEE TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Supplemental Amendment for the above-mentioned application. The fee required to be filed with the accompanying amendment has been calculated as shown below:

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Present Extra	Extra Rate	Fee
Total	20	-30	0	\$9.00	\$000.00
Independent	6	-8	0	\$42.00	\$000.00
1st Presentation	of Multiple Deper	dent Claim		\$140.00	\$000.00
				Total	\$000.00

Applicants claim small entity status. Please charge any required fee to ZymoGenetics, Inc., Deposit Account No. 26-0290. A duplicate of this sheet is enclosed.

Respectfully submitted,

Shelby J. Walker

Registration No. 45,192

SEQUENCE LISTING

```
<110> Holloway, James L.
     Lok, Si
     Jaspers, Stephen R.
<120> Insulin Homolog Polypeptide Zins4
<130> 00-18
<150> US 60/188,544
<151> 2000-03-10
<160> 13
<170> FastSEQ for Windows Version 4.0
<210> 1
<211> 429
<212> DNA
<213> Homo sapiens
<220>
<221> CDS
<222> (1)...(429)
<400> 1
atg gcc agg tac atg ctg ctg ctc ctg gcg gta tgg gtg ctg acc
                                                                        48
Met Ala Arg Tyr Met Leu Leu Leu Leu Leu Ala Val Trp Val Leu Thr
 1
                 5
                                      10
                                                          15
ggg gag ctg tgg ccg gga gct gag gcc cgg gca gcg cct tac ggg gtc
                                                                        96
Gly Glu Leu Trp Pro Gly Ala Glu Ala Arg Ala Ala Pro Tyr Gly Val
             20
                                  25
                                                      30
agg ctt tgc ggc cga gaa ttc atc cga gca gtc atc ttc acc tgc ggg
                                                                       144
Arg Leu Cys Gly Arg Glu Phe Ile Arg Ala Val Ile Phe Thr Cys Gly
         35
                              40
                                                  45
ggc tcc cgg tgg aga cga tca gac atc ctg gcc cac gag gct atg gga
                                                                       192
Gly Ser Arg Trp Arg Arg Ser Asp Ile Leu Ala His Glu Ala Met Gly
     50
                          55
                                              60
```

					gca Ala 70							-	_			2	240
				_	999 Gly		-	-		_	_	-		_		2	288
					agg. Arg		-		_							3	336
				-	cga Arg	_	_	_	_				_	_	•	3	384
					agc Ser									tag *		L	129
)> 2																
<212	L> 14 2> PF 3> Ho	RT	sapie	ens													
<212 <213 <400	2> PF 3> Ho 0> 2	RT omo :		Met	Leu	Leu	Leu	Leu	Leu	Ala	Val	Trp	Val	Leu	Thr		
<212 <213 <400 Met 1	2> PF 3> Ho 0> 2 Ala	RT Omo : Arg	Tyr Trp	Met 5	Leu Gly			Ala	10				Tyr	15			
<212 <213 <400 Met 1 Gly	2> PF 3> Ho 0> 2 Ala Glu	Arg Leu Cys	Tyr Trp 20	Met 5 Pro		Ala	Glu Ile	Ala 25	10 Arg	Ala	Ala	Pro Phe	Tyr 30	15 Gly	Val		
<212 <213 <400 Met 1 Gly Arg	2> PF 3> Ho D> 2 Ala Glu Leu Ser	Arg Leu Cys 35	Tyr Trp 20 Gly	Met 5 Pro Arg	Gly	Ala Phe Ser	Glu Ile 40	Ala 25 Arg	10 Arg Ala	Ala Val	Ala Ile His	Pro Phe 45	Tyr 30 Thr	15 Gly Cys	Val Gly		
<212 <213 <400 Met 1 Gly Arg Gly	2> PF 3> Ho D> 2 Ala Glu Leu Ser 50	Arg Leu Cys 35 Arg	Tyr Trp 20 Gly Trp	Met 5 Pro Arg Arg	Gly Glu	Ala Phe Ser 55	Glu Ile 40 Asp	Ala 25 Arg Ile	10 Arg Ala Leu	Ala Val Ala Asp	Ala Ile His 60	Pro Phe 45 Glu	Tyr 30 Thr	15 Gly Cys Met	Val Gly Gly Glu		
<212 <213 <400 Met 1 Gly Arg Gly Asp 65	2> PF 3> Ho D> 2 Ala Glu Leu Ser 50 Thr	Arg Leu Cys 35 Arg Phe	Tyr Trp 20 Gly Trp Pro	Met 5 Pro Arg Arg	Gly Glu Arg Ala	Ala Phe Ser 55 Asp	Glu Ile 40 Asp Ala	Ala 25 Arg Ile Asp	10 Arg Ala Leu Glu	Ala Val Ala Asp 75	Ala Ile His 60 Ser	Pro Phe 45 Glu Leu	Tyr 30 Thr Ala	15 Gly Cys Met	Val Gly Gly Glu 80		
<212 <213 <400 Met 1 Gly Arg Gly Asp 65 Leu	2> PF 3> Ho 0> 2 Ala Glu Leu Ser 50 Thr	Arg Leu Cys 35 Arg Phe Glu	Tyr Trp 20 Gly Trp Pro	Met 5 Pro Arg Arg Asp Met 85	Gly Glu Arg Ala 70	Ala Phe Ser 55 Asp	Glu Ile 40 Asp Ala Ser	Ala 25 Arg Ile Asp Glu	10 Arg Ala Leu Glu Trp 90	Ala Val Ala Asp 75 Leu	Ala Ile His 60 Ser Ala	Pro Phe 45 Glu Leu Leu	Tyr 30 Thr Ala Ala	15 Gly Cys Met Gly Lys 95 Pro	Val Gly Gly Glu 80 Ser		

```
Val Leu Arg Gly Ser Arg Asp Val Leu Ala Gly Leu Ser Ser Cys
                            120
Cys Lys Trp Gly Cys Ser Lys Ser Glu Ile Ser Ser Leu Cys
                        135
                                            140
<210> 3
<211> 14
<212> PRT
<213> Artificial Sequence
<220>
<223> Cysteine motif
<221> VARIANT
<222> (3)...(13)
<223> Each Xaa is independently any amino acid residue
      except cysteine.
<400> 3
Leu Cys Gly Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Cys
 1
                 5
                                    10
<210> 4
<211> 15
<212> PRT
<213> Artificial Sequence
<220>
<223> Motif
<221> VARIANT
<222> (3)...(5)
<223> Each Xaa is independently any amino acid resdiue
      except cysteine.
<221> VARIANT
<222> (4)...(14)
```

<223> Each Xaa is independently any amino acid residue

except cysteine.

```
Cys Cys Xaa Xaa Xaa Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Cys
                 5
                                    10
                                                         15
<210> 5
<211> 5
<212> PRT
<213> Artificial Sequence
<220>
<223> Motif
<221> VARIANT
<222> (2)...(4)
<223> Each Xaa is independently any amino acid residue
      except cysteine.
<400> 5
Arg Xaa Xaa Xaa Arg
 1
                 5
<210> 6
<211> 426
<212> DNA
<213> Artificial Sequence
<220>
<223> Degenerate polynucleotide sequence encoding the
      polypeptide of SEQ ID NO:2.
<221> variation
<222> (1)...(426)
<223> Each N is independently A, T, G, or C.
<400> 6
atggcnmgnt ayatgytnyt nytnytnytn gcngtntggg tnytnacngg ngarytntgg
                                                                         60
conggngong argonmgngo ngoncontay ggngtnmgny tntgyggnmg ngarttyath
                                                                        120
mgngcngtna thttyacntg yggnggnwsn mgntggmgnm gnwsngayat hytngcncay
                                                                        180
gargenatgg gngayaentt yeengaygen gaygengayg argaywsnyt ngenggngar
                                                                        240
ytngaygarg cnatgggnws nwsngartgg ytngcnytna cnaarwsncc ncargentty
                                                                        300
taymgnggnm gnccnwsntg gcarggnacn ccnggngtny tnmgnggnws nmgngaygtn
                                                                        360
```

ytngcnggny tnwsnwsnws ntgytgyaar tggggntgyw snaarwsnga rathwsnwsn ytntgy	420 426
<210> 7 <211> 25 <212> DNA <213> Artificial Sequence	
<220> <223> Oligonucleotide ZC9736	
<400> 7 ccatacccct gacccctgtt gagat	25
<210> 8 <211> 25 <212> DNA <213> Artificial Sequence	
<220> <223> Oligonucleotide ZC9740	
<400> 8 cagaggttcc ctgataccca cacat	25
<210> 9 <211> 55 <212> DNA <213> Artificial Sequence	
<220> <223> Exon 1 sense oligonucleotide primer	
<400> 9 tgaagaaggt ctcgaattcg tcgacaccat ggccaggtac atgctgctgc tgctc	55
<210> 10 <211> 45 <212> DNA <213> Artificial Sequence	
<220> <223> Exon 1 antisense oligonucleotide primer	

```
<400> 10
.tgaagaaggt ctcactccca tagcctcgtg ggccaggatg tctga
                                                                         45
<210> 11
<211> 41
<212> DNA
<213> Artificial Sequence
<220>
<223> Exon 2 sense oligonucleotide primer
<400> 11
tgaagaaggt ctcaggagat accttcccgg atgcagatgc t
                                                                         41
<210> 12
<211> 52
<212> DNA
<213> Artificial Sequence
<220>
<223> Exon 2 antisense oligonucleotide primer
<400> 12
tgaagaaggt ctctctagaa ctctagcaaa ggctactgat ttcacttttg ct
                                                                         52
<210> 13
<211> 4
<212> PRT
<213> Artificial Sequence
<220>
<223> conserved motif
<221> VARIANT
<222> (1)...(4)
<223> Xaa = Any Amino Acid
<400> 13
Arg Xaa Xaa Arg
 1
```

File No. 00-18 Patent Application entitled INSULIN HOMOLOG POLYPEPTIDE ZINS4 Applicants: Jim Holloway, Si Lok, Steve Jaspers USSN: 09/781,077 ASCII Conversion Date: August 11, 2003 IBM PC Compatible A:\00-18.txt

File No.

00-18

Applicants:

James L. Holloway, Si Lok, Stephen R. Jaspers

USSN

09/781,077

Filed

February 9, 2001

For

INSULIN HOMOLOG POLYPEPTIDE ZINS4

The USPTO hereby acknowledges receipt of the following:

- Supplemental Amendment and Certificate of Mailing (2 pages)
- 2. Amendment Fee Transmittal (in duplicate)
- Sequence Listing Diskette
- Paper Copy of Sequence Listing (6 pages)

Via First Class Mail on August 11, 2003